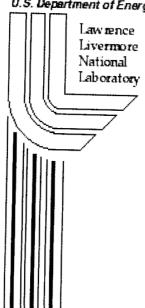
# Synergistic Use of IEEE and IEC Nuclear Power **Plant Standards**

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# Synergistic Use of IEEE and IEC Nuclear Power Plant Standards

Gary Johnson<sup>1</sup>

# **Summary**

Many organizations worldwide develop standards that affect nuclear instrumentation and control (I&C). Two of the primary standards organizations are the US IEEE's Nuclear Power Engineering Committee (NPEC), and the IEC subcommittee on Reactor Instrumentation (SC45A). This paper surveys the contents of the two sets of standards. Opportunities for complementary use of IEEE and IEC standards are discussed.

# Introduction

The collections of IEEE and IEC standards have some overlap, but in many cases cover significantly different topics. For example, IEEE standards go to great depth on environmental qualification of many specific types of components, while IEC covers the topic only at the general level. Conversely, certain IEC standards deal with specific instrumentation and control functions, a topic area where IEEE standards are largely mute. This paper considers how the two sets of standards may be used in a complementary fashion to achieve broader topic coverage than is possible using only one or the other standard suite.

To understand the similarities and differences between IEC and IEEE nuclear standards layer diagrams were developed for each set of standards. Another paper [Johnson, 2001] used the same layer diagrams to investigate where coordination between the two sets of standards is most critical.

# Layer Diagrams

Layer diagrams show the structure of a set of documents from the most general to the most specific. This study used the layer structure defined by Moore for the analysis of software engineering standards [Moore, 1998]. This structure uses six layers:

- Terminology Documents prescribing terms and vocabulary.
- Overall guidance Documents providing guidance covering the entire collection of standards.
- Principles Documents describing principles / objectives for use of the standards in the collection.
- Element standards Standards that are typically the basis for compliance.
- Application guides Documents that supplement or give advice for using standards.
- Techniques Documents describing methods and techniques that may be helpful in accomplishing requirements or guidance of the collection of standards.

Layer diagrams were developed for both the IEEE and IEC standards. The development of layer diagrams showed that the standards from both organizations may be categorized into three groups: 1) standards describing general design topics that are applicable to all, or a wide range of specific

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functions, 2) standards dealing with human factors engineering issues including human-machine interface design as well as human factors engineering techniques, and 3) standards dealing with specific instrumentation, control, or electrical system functions.

The combined layer diagrams developed to show the standards in each of these three categories are in Tables 1 through 4. These diagrams represent one view of the standards. Other views using layer diagrams are possible, but the organization selected here is at least useful to frame the discussion below. Guidance from other organizations, such as ISA or standard practices from IAEA would also be useful to show on these layer diagrams. That effort is left for later.

# **Analysis**

Areas where the two sets of standards complement each other may be understood by working down through the layers. The top three layers of each category are common to all diagrams. Each of these three layers will be discussed generically. The other layers will be discussed for each category of standards. Before these are discussed there are some general comments.

The IEEE nuclear power standard set includes several general industry standards that were selected by NPEC as particularly relevant to the nuclear industry. There is no similar practice in IEC SC45A to embrace other IEC committees' standards. The IEEE list of relevant general standards has not been updated recently. Certain software engineering and EMI standards endorsed by NRC might be added.

The IEC standards are considered industry specific standards under a general industry systems standard, IEC 61508. This is a relatively new development and the relationship between 61508 and the nuclear standards has not yet matured. No comparable relationship exists in the IEEE sphere.

The IEC depends upon IAEA safety guides to provide overall design principles for 1&C systems, therefore, this analysis treats the overarching IAEA I&C safety guides as IEC principle standards. Currently these principles are provided in IAEA Safety Guides D3, "Protection System and Related Features in Nuclear Power Plants," and D8, "Safety Related Instrumentation and Control Systems in Nuclear Power Plants." These two guides are soon to be replaced by a unified guide, "Instrumentation and Control Systems Important to Safety in Nuclear Power Plants," which in draft form is designated as DS252.

# **Terminology**

The IEEE and IEC take different approaches to terminology standards. The IEC attempts provide one definition of each term and coordinate each definition with other international bodies such as ISO and IAEA. The IEEE does not make a concerted attempt to uniquely define terminology, but simply catalogs each definition used in its standards (IEEE 100) with the expectation that writers will not develop a new definition where an old one will do. In any case, it is obvious that terminology should be coordinated to the extent practicable, but that some degree of inconsistency can be tolerated.

# **Table 1 System Standards**

Terminology		IEC 60557 IEC terminology in the nucl	ear reactor field / IEEE 100 Standard Dictio	nary of Electrical and Electronic Terms	
Overall Guide		IAEA NS-R-1 Safety of Nuclear Power	Plants: Design / 10 CFR 50 Domestic Licen	sing of Production and Utilization Facilities	
		IAEA DS-252 Instrume	ntation and Control Systems Important to S	afely in Nuclear Power Plants	
Principles		IEC 61226 Instru	mentation and control systems important to	r safety - Classification	
			nd control for systems important to safety		
		L	IEEE 603 Criteria	or Safety Systems	
		<u> </u>	Safety	systems	
		Systems R	lequirements	Equipment	Qualification
		IEC 60671 Periodic tests and monitoring of the protection system	IEEE 338 Periodic Surveillance Testing of Safety Systems	IEC 60780 Electrical equipment of the safety system - Qualification	IEEE 323 Qualifying Class 1E Equipment
		IEC 60709 Separation within the reactor protection system	IEEE 384 Independence of Class 1E	IEC 60772 Electrical penetration assemblies	IEEE 317 Electric Penetration Assemblies
	IEC 60639 Use of the protection	system for non-safety purposes	Equipment and Circuits	IEEE 334 Qualifying Continuous Duty Class 1E Motors	
		IEC 60744 Safety logic assemblies	]	IEEE 383 Type Test of 1E Cables Splices, & Connections	
	IEC 61497 Elec	trical interlocks	]	IEEE 535 Qualification of Class 1E Lead Storage Batteries	
Element Standards	IEC 61225 Requirements	for electrical supplies		IEEE 572 Qualification of Class 1E Connection Assemblies	
	PNW 45A-419 Mana	gement of Ageing	IEEE 1205 Assessing, Monitoring, and Miligating Aging	IEEE 650 Qualification of 1E Battery Charges and Inverters	
	IEC 60987 Programmed digital	computers important to safety		IEEE C37.82 Qual of Switchgear Assemblies for 1E Apps	}
	IEC 62138 Software aspects for class	IEC 60880 Software for computers in safety systems	IEEE 7-4,3.2 Digital Computers in	IEEE C37.105 Qual of 1E Protective Relays & Auxiliaries	•
	IEC 60880-2 Software aspects of defence software tools and of p	against common cause failures, use of	Safely Systems		,
	IEC 61500 Multiplexe	d data transmission	]	IEEE C37 98 Seis	mic Testing of Relays
	IEEE 933 Definition of Re	liability Programs Plans	]	IEEE 833 Protection of Electri	c Equipment from Water Hazards
		IEEE 577 Reliability Analysis in the Design and Operation of Safety Systems			
		IEEE 336 Installation, Inspection, and Testing of I&C Equipment	]		
_	IEEE BOS System I		, 1		
	IEEE BOD SYSTEM I	dentinication	J		
		IEC 61940 A review of the application of IEC 60880	IEEE 379 Application of the Single Failure Criterion	IEC 60980 Recommended practices for seismic qualification	IEEE 344 Seismic Qualification of Class 1E Equipment
Application Guide	IEC 62082 Framework for developing star software :		IEEE 352 Principles of Reliability Analysis for Safety Systems		
	IEG 61224 In silu resp	ones time for HTDs	1		
			3 1		
	IEC 61888 Determination and		Ј ነ		
Techniques	IEC 61971 PWR - Measurement val	idation for critical safety functions	]		
	IEC 61838 Use of probabilistic saf	ely assessment for classification	}		

**Table 2 Human Factors Engineering Standards** 

Terminology	IEC 60557 IEC terminology in the nuclear re	eactor field / IEEE 100 Standard Dict	tionary of Electrical and Electronic Terms		
Overall Guide	IAEA 50-C-D Safety of Nuclear Power Plants: Design / 10 CFR 50 Domestic Licensing of Production and Utilization Facilities  IEC 61226 Instrumentation and control systems important for safety - Classification				
Principles	IAEA NS-252 Instrumentation and Control Systems Important to Safety in Nuclear Power Plants  IEC 61513 Instrumentation and control for systems important to safety - General requirements for systems				
		Human Factors Engineerin	g		
	Control Rooms	Specific HMI Systems	Principles		
Element Standards	IEC 60964 Design of control rooms	IEC 60960 Functional design criteria for SPDS			
	IEC 61772 Main control room - visual display units (VDU)	IEC 60965 Supplementary control for remote shutdown			
Application Guide	IEC 62247 Main Control Room  Design - A review of the  application of IEC 60964		IEEE 1023 Application of Human Factors Engineering to Systems, Equipment, and Facilities		
			IEEE 1289 Application of Human Factors Engineering in Computer Display Design		
Techniques	IEC 61771 Main control-room -  V&V of design  IEC 61839 Control rooms -  Functional analysis and  assignment		IEEE 845 Evaluation of Human System Performance  IEEE 1082 Human Action Reliability Analysis		

# $Table\ 3\ IEC\ Specific\ Function\ Standards$

Terminology		IEC 60557 IEC termin	ology in the nuclear reactor fie	ld .			
Overall Guide	IAEA 50-C-D Safety of Nuclear Power Plants: Design						
		IEC 61226 Instrumentation a	and control systems important	for safety - Classification			
Principles	I. IAE	A NS-252 Instrumentation and	Control Systems Important to	Safety in Nuclear Power Plan	ts		
Timospics	IEC 6151	13 Instrumentation and control	for systems important to safet	y - General requirements for	systems		
	<u> </u>		Specific Functions				
	Radiation Monitoring	Core Cooling Monitoring	Neutron Monitoring	Temperature Monitoring	Other Measurements		
	IEC 61504 Plant-wide radiation monitoring	IEC 60911 Monitoring core cooling - PWRs	IEC 60568 In-core ineutron flux measurements	IEC 60737 In-core or primary envelope temperature	IEC 60910 Containment monitoring for early detection of events		
Element Standards	IEC 60515 Radiation detectors for instrumentation and protection	IEC 61343 Monitoring core cooling - BWR	IEC 61468 Self-powered neutron detectors	PNW 45A-420 RTDs Primary Coolant Temperature Measurement in PWRs	IEC 60988 Acoustic loose parts detection		
	IEC 60768 Process stream radiation monitoring for normal operating and incident conditions	IEC 62117 Monitoring ore cooling during cold shutdown - PWR	IEC 61501Wide range neutron flux monitor - Mean square voltage method		IEC 61250 Detection of leakage in coolant systems		
	IEC 60951-1 Radiation monitoring accident and post-accident conditions. Part 1: General requirements	IEC 62118 Monitoring core cooling during shutdown - RBMK			IEC 61502 Vibration monitoring of internal structures		
	Part 2: Continuously monitoring radioactive noble gases in gaseous effluents Part 3: High range area gamma radiationmonitoring Part 4: Process stream Part 5: Radioactivity of air				IEC 61505 BWR Stability monitoring		
	IEC 61031 Area gamma radiation monitoring						

**Table 4 IEEE Specific Function Standards** 

Terminology		IEEE 100 S	itandard Dictionary of	of Electrical and Ele	ctronic Terms		
Overall Guide		10 CFR 50 Domestic Licensing of Production and Utilization Facilities					
Principles		Systems Important to Safety					
			teria for Safety				
				_			
			Specific	Functions			
	Electrical Systems		Cabling Systems	Radiation Monitoring	Other Functions	Heat Tracing	
	IEEE 765 Preferred Power Supply	IEEE 308 Class 1E Power Systems	IEEE 628 Raceway Systems	IEEE N42.18 Continuous OnSite Radiation Monitoring	IEEE 692 Criteria For Security Systems		
Element Standards		IEEE 387 Diesel Generators	IEEE 690 Design and Installation of Cable Systems	IEEE N320 Emergency Rad Monitoring			
		IEEE 741 Protection of Power Systems and Equipment	IEEE 317 Electric Penetration Assemblies				
	IEEE C37.2 Slandard for Device Function Numbers and Contact Designations						
	IEEE 944 Application and Testing of Uninterruptible Power Supplies						
	IEEE 484 Design and Installation of Vented Lead Acid Batteries				IEEE 1290 MOV Application, Protection, Control, & Test	IEEE 622 Design and Installation of Heat Tracing	
Application Guide	IEEE 450 Maintenance, Testing, and Vented Lead Acid Batter					IEEE 622A Installation of Electric Pipe Heat Tracing	
	IEEE 485 Sizing Lead Acid Batteries Applications	for Stationary				IEEE 622B Testing and Startup for Electric Heat Tracing	
	IEEE 1106 Maintenance, Testing, and Nickel Cadmium Batteri						
	IEEE 1050 I&C Groundin	<u></u>					

# Overall guidance

Neither the IEEE nor IEC standards organizations provide the overall guidance for nuclear power systems. This function is reserved for national regulatory authorities. Thus the IEEE standards look to the requirements of the US Code of Federal Regulations, Section 10, Part 50, "Domestic Licensing of Production and Utilization Facilities," and in particular to Appendix A dealing with light water reactors (LWR). IEC standards, on the other hand, must account for the regulations of all member states. It is not practical for the IEC standards working groups to be familiar with such a broad range of guidance, therefore the IAEA Safety Standard NS-R-1, "Safety of Nuclear Power Plants: Design" is used as the practical source of overall guidance. NS-R-1 is approved by the member states; thus it should represent guidance that is consistent with all member states' regulations. Nevertheless, there are differences in the nature of the NRC and IAEA guidance that cause unavoidable differences in detail. For example, the NRC regulations contain very specific requirements for LWRs while the IAEA requirements cover a broad range of reactor types.

The consistency between IAEA and NRC requirements is about as good as can be expected. Thus the standards written to the two sets of frameworks should be relatively compatible. This is especially true for the lower-level standards, which focus on narrow topics. Nevertheless, the fact that the two sets of standards derive from different overall guidance places a burden on users to be alert for disconnects that might need to be addressed whenever standards from IEC and IEEE are used together.

# Principles

The greatest overlap is in standards that provide basic design principles for nuclear power plant instrumentation and control systems. IAEA DS252 and IEEE 603 are comparable in purpose in that they layout fundamental strategies to assure high functional reliability of I&C systems. DS252 has a broader scope, applying to systems important to safety, encompassing the safety systems, which are the scope of IEEE 603. DS252 also gives guidance on application of the principles to specific systems, general human factors engineering principles, and general principles for I&C system lifecycle. Preparation of DS252 included a specific effort to avoid conflict between it and IEEE 603.

IEC 61226 and 61513 support the guidance of DS252. IEC 61226 provides detailed guidance on a graded approach to system integrity, which is allowed by, but not described in detail by DS252. IEC 61513 provides a more detailed discussion of the I&C system lifecycle. The preparers of DS252 also attempted to avoid conflicts with these two standards.

The greater breadth of IEC standards offer guidance on graded approach and design life cycles that does not exist in the IEEE framework. These areas have, to some extent, been avoided by US standards activities because these topics are at the fringe of the regulatory spotlight in the US. The advent of software-based systems, however, has made life-cycle considerations more important. New approaches such as passive safety design and risk based regulation and new issues such as diversity requirements and alternative shutdown features are increasing the regulatory attention to systems that are important to safety, but not safety. Therefore, IEC 61226 and IEC 61513 may offer guidance that may supplement US standards to support the next generation of reactor designs. That these and other IEC standards support general principles that are consistent with those embodied in IEEE 603 and 10 CFR 50 means that these standards should be generally usable in concert with existing IEEE

standards. Again, users who reference both sets of standards need to be alert for any inconsistencies that may need to be addressed in their specific application.

# Element standards and application guides

In this analysis, element standards and application guides were classified into 4 groups: 1) system requirements, 2) equipment qualification, 3) human factors engineering, 4) specific l&C functions.

# System requirements

The more complicated elements of integrity strategies espoused by both IEEE 603 and IAEA DS 252 are supported by standards covering a similar set of detailed topics. It is clear that there is overlap between IEEE 338 and IEC 60671 (surveillance test features); IEC 60709, IEC 60639 and IEEE 384 (independence), the IEC 60880 group and IEEE 7-4.3.2 (software), and IEEE 1205 (aging management) with the IEC againg management standard that is under development. These should be coordinated, but it is not clear that these present opportunities for synergistic use.

There are four systems topics where the standards have little overlap: interlocks systems, data communications systems, installation practices, and reliability programs and analysis. The first two represent areas where IEEE standard users may wish to consider the IEC guidance. The latter two represent areas where IEC standard users may wish to consider IEEE guidance.

# Equipment qualification

Both IEEE and IEC have high level standards addressing the fundamentals of environmental qualification. IEEE 323 and IEC 60780 cover environmental qualification. IEEE 384 and IEC 60980 cover seismic qualification.

IEEE has a series of standards dealing with the application of IEEE 323 to specific types of components. No parallel exists within the IEC standards set. IEC users may wish to consider these when applying IEC 60980 to specific electrical, instrumentation, and control components.

# Human factors engineering

The IEC and IEEE human factors engineering standards seem almost complementary. The IEEE standards cover design principles while the IEC standards cover specific applications of the design principles.

# Standards for Specific I&C Functions

Both IEC and IEEE produce standards that impose requirements on specific I&C functions. Remarkably, they mostly cover completely different sets of functions. The exception is radiation monitoring. In this area, however, IEEE N42.18, and IEEE N320 has considerable overlap with the IEC 60951 series.

In the other areas the standards are complementary. IEC covers many specific instrumentation and control functions, while IEEE has extensive standards on electrical power systems. It is not clear where the responsibility for nuclear power plant electrical power standards lay within IEC. IEC TC45 has the charter for nuclear power instrumentation, but it is not clear that it has the charter, or at the moment the proper membership, to cover electrical power systems. Other IEC committees, however, do not have the nuclear power specific background necessary and thus are not working in the area.

IAEA has some guidance for electrical power systems, but this tends to be more at the principle level. Consequently, the IEEE standard set provide the most useful set of standards for nuclear power plant instrumentation and control

Except for the radiation monitoring topics, the two groups of function standards can generally be used be used together.

# **Conclusions and Recommendations**

Clear opportunities exist to use IEEE and IEC nuclear power plant standards in concert with each other. Tables 5 and 6 summarize the IEEE and IEC standards that well supplement each other's existing standards.

Future work will be done to understand the detailed issues in using these standards together and the possible conflicts raised where IEEE and IEC standards overlap.

For the present it is hoped that tables 5 and 6 will help standard users to identify additional sources of information that will help them in the design, procurement, and deployment of I&C systems.

Table 7 summarizes the relationship between IEC and IEEE standards by topic areas.

#### References

Johnson 2001, "Comparison of IEC and IEEE Standards for Computer-Based Control Systems Important to Safety," Nuclear Energy Agency Workshop on Licensing and Operating Experience of Computer-based I & C Systems Important to Safety, Hluboka, Czech Republic, Organization for Economic Cooperation and Development, Issy-les-Moulineaux, France, 2001.

Moore 1998, Software Engineering Standards, A User's Map, Moore, James W., IEEE Computer Society, Los Alamitos, CA, USA, 1998.

IEC standards are available from www.ice.ch.

IEEE standards are available from standards.ieee.org

IAEA guides are available from www.iaea.org/worldatom/Books/

This work was performed under the auspices of the U.S. Department of Energy by the University of California, Lawrence Livermore National Laboratory under Contract No. W-7405-Eng-48.

# Table 5 IEC Standards for Consideration by Users of IEEE Standards

# Principles

IEC 61226 1&C systems important for safety - classification
IEC 61513 1&C for systems important to safety - General requirements for systems
IEC 61838 Use of probabilistic safety assessment for classification

# System Guidance

IEC 61497 Electrical interlocks
IEC 61500 Multiplexed data transmission
IEC 61971 PWR - Measurement validation for critical safety functions

# **Human Factors**

IEC 60964 Design of control rooms
IEC 61772 Main control room - visual display units (VDU)
IEC 60960 Functional design criteria for SPDS
IEC 60965 Supplementary control for remote shutdown
IEC 61771 Main control-room - V&V of design
IEC 61839 Control rooms - Functional analysis and assignment

# **Functions**

IEC 60911 Monitoring core cooling - PWRs
IEC 61343 Monitoring core cooling - BWR
IEC 62117 Monitoring core cooling during cold shutdown - PWR
IEC 60568 In-core neutron flux measurements
IEC 61468 Self-powered neutron detectors
IEC 61501Wide range neutron flux monitor - Mean square voltage method
IEC 60737 In-core or primary envelope temperature
IEC 60910 Containment monitoring for early detection of events
IEC 61250 Detection of leakage in coolant systems
IEC 60988 Acoustic loose parts detection

IEC 61502 Vibration monitoring of internal structures

IEC 61505 BWR Stability monitoring

# Table 6 IEEE Standards for Consideration by Users of IEC Standards

### System Guidance

IEEE 336 Installation, Inspection, and Testing of I&C Equipment
IEEE 577 Reliability Analysis in the Design and Operation of Safety Systems
IEEE 933 Definition of Reliability Programs Plans

### Human Factors

IEEE 1023 Application of Human Factors Engineering to Systems, Equipment, and Facilities
IEEE 1289 Application of Human Factors Engineering in Computer Display Design
IEEE 845 Evaluation of Human System Performance
IEEE 1082 Human Action Reliability Analysis

# Environmental Qualification

IEEE 323 Qualifying Class 1E Equipment
IEEE 334 Qualifying Continuous Duty Class 1E Motors
IEEE 383 Type Test of 1E Cables, Splices, & Connections
IEEE 535 Qualification of Class 1E Lead Storage Batteries
IEEE 572 Qualification of Class 1E Connection Assemblies
IEEE 650 Qualification of 1E Battery Charges and Inverters
IEEE C37.82 Qualification of Switchgear Assemblies for 1E Apps
IEEE C37.105 Qualification of 1E Protective Relays & Auxiliaries
IEEE C37.98 Seismic Testing of Relays
IEEE 833 Protection of Electric Equipment from Water Hazards

# Electrical Systems

IEEE 765 Preferred Power Supply IEEE 308 Class 1E Power Systems IEEE 387 Diesel Generators

IEEE 741 Protection of Power Systems and Equipment
IEEE C37.2 Standard for Device Function Numbers and Contact Designations
IEEE 944 Application and Testing of Uninterruptible Power Supplies
IEEE 484 Design and Installation of Vented Lead Acid Batteries
IEEE 450 Maintenance, Testing, and Replacement of Vented Lead Acid Batteries
IEEE 485 Sizing Lead Acid Batteries for Stationary Applications
IEEE 1106 Maintenance, Testing, and Replacement of Nickel Cadmium Batteries
IEEE 1050 I&C Grounding

#### **Functions**

IEEE 692 Criteria for Security Systems

IEEE 1290 MOV Application, Protection, Control, & Test

IEEE 622 Design and Installation of Heat Tracing

IEEE 622A Installation of Electric Pipe Heat Tracing

IEEE 622B Testing and Startup for Electric Heat Tracing

Table 7 IEC, IEEE, and IAEA Topic Coverage

	IEEE/ISA	IEC	IAEA
General Integrity Requirements			
Surveillance			
Independence			
EQ - General			
EQ - Specific			
Software			
Radiation Monitoring			
Trip setpoints		0.4	
HMI			
HFE Principles			
Reliability Analysis			
Single Failure Criterion			
Electrical systems			200 G 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Security Systems			
Heat Tracing			
Interlocks			
Multiplexed Data Transmission		to the comment of the	
Measurement validation			
Core Cooling Monitoring			
Neutron Monitoring		11	
Temperature Monitoring		1	
Containment Monitoring			
Stability Monitoring			
Leakage Monitoring			
Vibration / Lose Parts Monitoring			